Definitions for NTIA Privacy Multistakeholder Facial Recognition

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Algorithm [Submitted By John Dowden]

A limited sequence of instructions or steps that directs a computer system how to solve a particular problem or perform a function.

Example of Algorithm: A facial recognition system will have multiple algorithms for: image processing, template generation, matching, etc.

Authentication [Edited by Walter Hamilton and John Dowden]

A task where the Facial Recognition System attempts to confirm an individual's claimed identity by comparing the template generated from a submitted face image with a specific known template generated from a previously enrolled face image. This process is also called one-to-one verification.

Custodian

The entity or individual that holdser of Facial Recognition Data

Database [Submitted by John Dowden]

The facial recognition system's database or set of known subjects. May include biometric image and template data as well as biographic, demographic and other data.

Delete [Name Change From Susan Grant]

[Definition change by Bill Long]

To make <u>unusable unreadable</u> or place content in a position to become <u>unusableFacial</u>
Recognition Data so that after deletion it cannot be <u>recovered</u>used by reasonable means.⁴

OR [Definition by Merrian Webster]

to remove (something, such as words, pictures, or computer files) from a document, recording, computer, etc.

Encryption [Submitted by Walter Hamilton and Bill Long]

The protection of data using reasonable means that have been generally accepted by experts in the field of information security, which renders such data unintelligible or unreadable. The act of transforming data into an unintelligible form so that it cannot be read by without the use of a key or a password.

¹ The "position to become unusable" is added to address a scenario where a file is "deleted" but has not yet been overwritten.

Enroll

The process of storing and maintaining Facial Recognition Data.

Existing Privacy Laws and Regulations:

Any state or federal law or regulation that governsing the collection or use of personal data from a Subject, where Facial Recognition Data could be considered one type of such datacollected from a Subject. These laws and regulations may is includes, but are is not limited to, the Gramm-Leach-Bliley Act, the Health Insurance Portability and Accountability Act, the Children's Online Privacy Protection, the California Online Privacy Protection Act, and the Electronic Communications Privacy Act, Section 5 of the Federal Trade Commission Act, and state UDAP ("Unfair or Deceptive Acts or Practices") laws.

Facial Authentication

[Edited by Walter Hamilton and John Dowden]

A task where the Facial Recognition System attempts to confirm an individual's claimed identity by comparing the template generated from a submitted face image with a specific known template generated from a previously enrolled face image. This process is also called one-to-one verification.

Facial Detection

A task where the Facial Recognition System distinguishes the presence of a <u>human</u> face without creating or deriving a Facial Template.

Facial Detection Software

Software used to detect the presence of a human face.

Example of Facial Detection: A store uses facial detection to more accurately count the number of people entering the store.

Facial Identification

A task where the Facial Recognition System searches Searching a database for a reference matching a submitted Facial Template and returnings a corresponding identity.²

Example of Facial Identification: A casino uses facial identification to ascertain the identity of a Subject who has entered the premises based on a photo database of known card counters.

Facial Recognition Data:

Data derived from the application of Facial Recognition Software, including Facial Template and associated metadata.

Facial Recognition Software:

Software used to compare the visible physical structure of an individual's face with a stored Facial Template.³

² Based on NSTC definition of Identification

Facial Recognition System: [Edit by Walter Hamilton]

A system that uses Facial Recognition Software.

Facial Template: [Submitted by Walter Hamilton and John Dowden]

A digital representation of distinct characteristics of a Subject's face, representing information extracted from a photograph using a facial recognition algorithm or Facial Template.⁴

Facial Image [Submitted by Bill Long]

A photograph or video frame or other image that shows the visible physical structure of an individual's face

Gallery [Submitted by John Dowden]

The facial recognition system's database or set of known subjects. May include biometric image and template data as well as biographic, demographic and other data.

Operation of Facial Detection Software

Facial Detection Software is considered "in operation" when the process of Facial Detection is occurring.

Secure Storage of Information

Using commercially reasonable measures and security protections to secure information.

Share Information

The disclosure of information to someone an entity other than the User or Subject.

Subject: [Submitted by Steve DelBianco]

The individual represented in a Facial Recognition System and/or a facial recognition database.⁵

Example of Subject: When gaining access to the apartment building, John became the *subject* of the building's Facial Recognition System.

Threshold [Submitted by John Dowden]

A user setting for Facial Recognition Systems for authentication, verification or identification. The acceptance or rejection of a Facial Template match is dependent on the match score falling above or below the threshold. The threshold is adjustable within the Facial Recognition System.

UserEntity using Facial Recognition

An entity that uses Facial Recognition Systems to Collect and <u>or</u> Use Facial Recognition Data about Subjects.

Example of User: The bank is the user of a Facial Recognition System for its ATMs.

³ Based on NSTC definition of Biometric System.

⁴ Based on NSTC definition of Template.

⁵ Based on the NSTC definition of User, however, separated out to clarify the subject and the user are different.